

## Test Report

Report No. : TCT181018C001

Date : Oct. 22, 2018

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**Applicant:** Shenzhen Max Technology Co., LTD

**Address:** F/3-4, Bldg.22, ChangXing High-Tech Park, Shajing, Bao'an Dist, Shenzhen, Guangdong, China

**The following sample was submitted and identified by/on behalf of the client as:**

Sample Name: Li-ion Battery

Model No.: 642540

Sample Received Date: 2018.10.18

Testing Period: 2018.10.18—2018.10.22

Test Requested: According to customer's requirements, Split the sample and determine the Pb, Cd, Hg, Cr(VI), PBBs & PBDEs content of the parts.

Test Method:

1. Sample prepared with reference to IEC 62321-2:2013
2. Sample Screening testing with reference to IEC 62321-3-1:2013
3. Wet Chemical Test Method
  - a. Determination of Lead ,Cadmium by ICP-OES with reference to IEC 62321-5:2013
  - b. Determination of Mercury by ICP-OES with reference to IEC 62321-4:2013+AMD1:2017
  - c. Determination of Hexavalent Chromium in colourless and coloured corrosion-protected coatings on metals by UV-VIS method reference to IEC 62321-7-1:2015
  - d. Determination of Hexavalent Chromium in polymers and electronics by UV-Vis Method with reference to IEC 62321-7-2:2017
  - e. Determination of PBBs and PBDEs by GC-MS with reference to IEC 62321-6:2015

Test Result(s): Please refer to the following page(s).

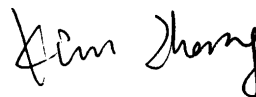
Conclusion: Base upon the performed tests by submitted sample, the test results comply with the limits as set by Directive (EU) 2015/863 - Amendment of EU RoHS Directive 2011/65/EU (RoHS 2.0) Annex II.

Checked by



Noel Yin

Signed for and on behalf of TCT



Kim Zhang  
Technical Manager



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### Test Result(s):

Part No.	Part Description	Restricted Substances	Result of EDXRF (1)	Result of Chemical Testing (2) (mg/kg)	Conclusion on RoHS	Data Submitted / Resubmitted Date
1	Yellow plastic tape	Pb Cd Hg Cr(VI) PBBs PBDEs	BL BL BL BL BL BL	--- --- --- --- --- ---	Comply Comply Comply Comply Comply Comply	Oct. 18, 2018
2	Silvery color metal	Pb Cd Hg Cr(VI) PBBs PBDEs	BL BL BL BL --- ---	--- --- --- --- --- ---	Comply Comply Comply Comply NA NA	Oct. 18, 2018
3	Silvery color metal	Pb Cd Hg Cr(VI) PBBs PBDEs	BL BL BL BL --- ---	--- --- --- --- --- ---	Comply Comply Comply Comply NA NA	Oct. 18, 2018
4	White plastic	Pb Cd Hg Cr(VI) PBBs PBDEs	BL BL BL BL BL BL	--- --- --- --- --- ---	Comply Comply Comply Comply Comply Comply	Oct. 18, 2018
5	Silvery color metal pin	Pb Cd Hg Cr(VI) PBBs PBDEs	BL BL BL BL --- ---	--- --- --- --- --- ---	Comply Comply Comply Comply NA NA	Oct. 18, 2018

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Part No.	Part Description	Restricted Substances	Result of EDXRF (1)	Result of Chemical Testing (2) (mg/kg)	Conclusion on RoHS	Data Submitted / Resubmitted Date
6	Black plastic jacket	Pb Cd Hg Cr(VI) PBBs PBDEs	BL BL BL BL BL BL	--- --- --- --- --- ---	Comply Comply Comply Comply Comply Comply	Oct. 18, 2018
7	Silvery color metal wire core	Pb Cd Hg Cr(VI) PBBs PBDEs	BL BL BL BL --- ---	--- --- --- --- --- ---	Comply Comply Comply Comply NA NA	Oct. 18, 2018
8	Red plastic jacket	Pb Cd Hg Cr(VI) PBBs PBDEs	BL BL BL BL BL BL	--- --- --- --- --- ---	Comply Comply Comply Comply Comply Comply	Oct. 18, 2018
9	Black electronic component	Pb Cd Hg Cr(VI) PBBs PBDEs	BL BL BL BL BL BL	--- --- --- --- --- ---	Comply Comply Comply Comply Comply Comply	Oct. 18, 2018
10	Black electronic component	Pb Cd Hg Cr(VI) PBBs PBDEs	BL BL BL BL BL BL	--- --- --- --- --- ---	Comply Comply Comply Comply Comply Comply	Oct. 18, 2018

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Part No.	Part Description	Restricted Substances	Result of EDXRF (1)	Result of Chemical Testing (2) (mg/kg)	Conclusion on RoHS	Data Submitted / Resubmitted Date
11	Solder	Pb Cd Hg Cr(VI) PBBs PBDEs	BL BL BL BL --- ---	--- --- --- --- --- ---	Comply Comply Comply Comply NA NA	Oct. 18, 2018
12	Black PCB	Pb Cd Hg Cr(VI) PBBs PBDEs	BL BL BL BL IN IN	--- --- --- --- N.D. N.D.	Comply Comply Comply Comply Comply Comply	Oct. 18, 2018 Oct. 22, 2018

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## Remark:

(1) (a) It is the result on total Br while test item on restricted substances is PBBs/PBDEs. It is the result on total Cr while test item on restricted substances is Cr<sup>6+</sup>.

(b) Results are obtained by EDXRF for primary screening, and further chemical testing by ICP-OES (for Cd, Pb, Hg), UV-Vis (for Cr<sup>6+</sup>) and GC/MS (for PBBs, PBDEs) is recommended to be performed, if the concentration exceeds the below warning value according to IEC62321-3-1:2013 (unit: mg/kg)

Element	Polymer	Metal	Composite Materials
Cd	$BL \leq (70-3\sigma) < X < (130+3\sigma) \leq OL$	$BL \leq (70-3\sigma) < X < (130+3\sigma) \leq OL$	$LOD < X < (150+3\sigma) \leq OL$
Pb	$BL \leq (700-3\sigma) < X < (1300+3\sigma) \leq OL$	$BL \leq (700-3\sigma) < X < (1300+3\sigma) \leq OL$	$BL \leq (500-3\sigma) < X < (1500+3\sigma) \leq OL$
Hg	$BL \leq (700-3\sigma) < X < (1300+3\sigma) \leq OL$	$BL \leq (700-3\sigma) < X < (1300+3\sigma) \leq OL$	$BL \leq (500-3\sigma) < X < (1500+3\sigma) \leq OL$
Br	$BL \leq (300-3\sigma) < X$	--	$BL \leq (250-3\sigma) < X$
Cr	$BL \leq (700-3\sigma) < X$	$BL \leq (700-3\sigma) < X$	$BL \leq (500-3\sigma) < X$

(c) BL = Below Limit, OL = Over Limit, IN = Inconclusive, LOD = Limit of Detection, -- = Not Regulated, NA = Not Applicable.

(d) The XRF screening test for RoHS elements – The reading may be different to the actual content in the sample be of non-uniformity composition.

(2) (a) 1mg/kg = 1ppm = 0.0001%, N.D.= Not Detected (<MDL), --- = Not Conducted.

(b) Unit and Method Detection Limit (MDL) in wet chemical test

Test Items	Pb	Cd	Hg
Units	mg/kg	mg/kg	mg/kg
MDL	2	2	2

The MDL for single compound of PBBs & PBDEs is 5 mg/kg and MDL of Cr<sup>6+</sup> for polymer & composite sample is 2 mg/kg.

(c) When Cr<sup>6+</sup> for metal sample is testing according to IEC 62321-7-1:2015, the unit is µg/cm<sup>2</sup>, and the MDL is 0,10 µg/cm<sup>2</sup>. When the Cr (VI) concentration is > the 0,13 µg/cm<sup>2</sup>, the sample is positive for Cr(VI) and considered to contain Cr(VI); when the Cr (VI) concentration is N.D.( < the 0,10 µg/cm<sup>2</sup>), the sample is negative for Cr(VI) and considered a non-Cr(VI) based coating; when the Cr (VI) concentration is ≥ the 0,10 µg/cm<sup>2</sup> and ≤ the 0,13 µg/cm<sup>2</sup>, the result is considered to be inconclusive - Unavoidable coating variations may influence the determination.

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(3) The maximum permissible limit is quoted from the Directive (EU) 2015/863 - Amendment of EU RoHS Directive 2011/65/EU (RoHS 2.0) Annex II.

RoHS Restricted Substances	Maximum Concentration Value (by weight in homogenous materials)
Lead (Pb)	0.1%
Cadmium (Cd)	0.01%
Mercury (Hg)	0.1%
Hexavalent Chromium (Cr VI)	0.1%
Polybrominated biphenyls (PBBs)	0.1%
Polybrominated diphenylethers (PBDEs)	0.1%

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## RoHS Exemptions

Exemptions	
RoHS Directive 2011/65/EU ANNEX III	
Exemption Items	Expires Date
1, Mercury in single capped (compact) fluorescent lamps not exceeding (per burner):	
1(a), For general lighting purposes < 30 W:3.5 mg	2,5 mg shall be used per burner after 31 December 2012
1(b), For general lighting purposes ≥ 30 W and < 50W:3.5mg	
1(c), For general lighting purposes ≥ 50 W and < 150 W: 5 mg	
1(d), For general lighting purposes ≥ 150 W: 15 mg	
1(e), For general lighting purposes with circular or square structural shape and tube diameter ≤ 17 mm: 7 mg	
1(f), For special purposes: 5 mg	
2(a), Mercury in double-capped linear fluorescent lamps for general lighting purposes not exceeding (per lamp):	
2(a)(1), Tri-band phosphor with normal lifetime and a tube diameter < 9 mm (e.g. T2): 4 mg	
2(a)(2), Tri-band phosphor with normal lifetime and a tube diameter ≥ 9 mm and ≤ 17 mm (e.g. T5): 3 mg	
2(a)(3), Tri-band phosphor with normal lifetime and a tube diameter > 17 mm and ≤ 28 mm (e.g. T8):3.5mg	
2(a)(4), Tri-band phosphor with normal lifetime and a tube diameter > 28 mm (e.g. T12): 5 mg	Expires on 31 December 2012; 3,5 mg may be used per lamp after 31 December 2012
2(a)(5), Tri-band phosphor with long lifetime (≥ 25 000 h): 5 mg	
2(b), Mercury in other fluorescent lamps not exceeding (per lamp):	
2(b)(2), Non-linear halophosphate lamps (all diameters): 15 mg	Expires on 13 April 2016
2(b)(3), Non-linear tri-band phosphor lamps with tube diameter > 17 mm (e.g. T9):15mg	
2(b)(4), Lamps for other general lighting and special purposes (e.g. induction lamps):15mg	
3, Mercury in cold cathode fluorescent lamps and external electrode fluorescent lamps (CCFL and EEFL) for special purposes not exceeding (per lamp):	
3(a), Short length (≤500 mm):3.5mg	
3(b), Medium length (> 500 mm and ≤ 1 500 mm):5mg	
3(c), Long length (> 1 500 mm):13mg	
4(a), Mercury in other low pressure discharge lamps (per lamp):15mg	
4(b), Mercury in High Pressure Sodium (vapour) lamps for general lighting purposes not exceeding (per burner) in lamps with improved colour rendering index Ra > 60:	
4(b) -I, P ≤155 W:30mg	
4(b) -II, 155 W < P ≤ 405 W:40mg	
4(b) -III, P > 405 W:40mg	
4(c), Mercury in other High Pressure Sodium (vapour) lamps for general lighting purposes not exceeding (per burner):	
4(c)-I, P ≤ 155 W:25mg	



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Exemptions	
RoHS Directive 2011/65/EU ANNEX III	
Exemption Items	Expires Date
4(c)-II, 155 W < P ≤ 405 W:30mg	
4(c)-III, P > 405 W:40mg	
4(d), Mercury in High Pressure Mercury (vapour) lamps (HPMV)	Expires on 13 April 2015
4(e), Mercury in metal halide lamps (MH)	
4(f), Mercury in other discharge lamps for special purposes not specifically mentioned in this Annex	
5(a), Lead in glass of cathode ray tubes	
5(b), Lead in glass of fluorescent tubes not exceeding 0,2 % by weight	
6(a), Lead as an alloying element in steel for machining purposes and in galvanized steel containing up to 0,35 % lead by weight	
6(b), Lead as an alloying element in aluminium containing up to 0,4 % lead by weight	
6(c), Copper alloy containing up to 4 % lead by weight	
7(a), Lead in high melting temperature type solders (i.e. lead- based alloys containing 85 % by weight or more lead)	
7(b), Lead in solders for servers, storage and storage array systems, network infrastructure equipment for switching, signalling, transmission, and network management for telecommunications	
7(c)-I, Electrical and electronic components containing lead in a glass or ceramic other than dielectric ceramic in capacitors, e.g. piezoelectric devices, or in a glass or ceramic matrix compound	
7(c)-II, Lead in dielectric ceramic in capacitors for a rated voltage of 125 V AC or 250 V DC or higher	
7(c)-III, Lead in dielectric ceramic in capacitors for a rated voltage of less than 125 V AC or 250 V DC	Expires on 1 January 2013 and after that date may be used in spare parts for EEE placed on the market before 1 January 2013
7(c)-IV, Lead in PZT based dielectric ceramic materials for capacitors being part of integrated circuits or discrete semiconductors	Expires on 21 July 2016
8(a), Cadmium and its compounds in one shot pellet type thermal cut-offs	Expires on 1 January 2012 and after that date may be used in spare parts for EEE placed on the market before 1 January 2012
8(b), Cadmium and its compounds in electrical contacts	
9, Hexavalent chromium as an anticorrosion agent of the carbon steel cooling system in absorption refrigerators up to 0,75 % by weight in the cooling solution	
9(b), Lead in bearing shells and bushes for refrigerant-containing compressors for heating, ventilation, air conditioning and refrigeration (HVACR) applications	
11(a), Lead used in C-press compliant pin connector systems	May be used in spare parts for EEE placed on the market before 24 September 2010



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Exemptions	
RoHS Directive 2011/65/EU ANNEX III	
Exemption Items	Expires Date
11(b), Lead used in other than C-press compliant pin connector systems	Expires on 1 January 2013 and after that date may be used in spare parts for EEE placed on the market before 1 January 2013
12, Lead as a coating material for the thermal conduction module C-ring	May be used in spare parts for EEE placed on the market before 24 September 2010
13(a), Lead in white glasses used for optical applications	
13(b), Cadmium and lead in filter glasses and glasses used for reflectance standards	
14, Lead in solders consisting of more than two elements for the connection between the pins and the package of microprocessors with a lead content of more than 80 % and less than 85 % by weight	Expires on 1 January 2011 and after that date may be used in spare parts for EEE placed on the market before 1 January 2011
15, Lead in solders to complete a viable electrical connection between semiconductor die and carrier within integrated circuit flip chip packages	
16, Lead in linear incandescent lamps with silicate coated tubes	Expires on 1 September 2013
17, Lead halide as radiant agent in high intensity discharge (HID) lamps used for professional reprography applications	
18(b), Lead as activator in the fluorescent powder (1 % lead by weight or less) of discharge lamps when used as sun tanning lamps containing phosphors such as BSP ( $\text{BaSi}_2\text{O}_5:\text{Pb}$ )	
21, Lead and cadmium in printing inks for the application of enamels on glasses, such as borosilicate and soda lime glasses	
23, Lead in finishes of fine pitch components other than connectors with a pitch of 0,65 mm and less	May be used in spare parts for EEE placed on the market before 24 September 2010
24, Lead in solders for the soldering to machined through hole discoidal and planar array ceramic multilayer capacitors	
25, Lead oxide in surface conduction electron emitter displays (SED) used in structural elements, notably in the seal frit and frit ring	
29, Lead bound in crystal glass as defined in Annex I (Categories 1, 2, 3 and 4) of Council Directive 69/493/EEC <sup>(1)</sup>	
30, Cadmium alloys as electrical/mechanical solder joints to electrical conductors located directly on the voice coil in transducers used in high-powered loudspeakers with sound pressure levels of 100 dB (A) and more	
31, Lead in soldering materials in mercury free flat fluorescent lamps (which e.g. are used for liquid crystal displays, design or industrial lighting)	
32, Lead oxide in seal frit used for making window assemblies for Argon and Krypton laser tubes	
33, Lead in solders for the soldering of thin copper wires of 100 $\mu\text{m}$ diameter and less in power transformers	
34, Lead in cermet-based trimmer potentiometer elements	

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Exemptions	
RoHS Directive 2011/65/EU ANNEX III	
Exemption Items	Expires Date
37, Lead in the plating layer of high voltage diodes on the basis of a zinc borate glass body	
38, Cadmium and cadmium oxide in thick film pastes used on aluminium bonded beryllium oxide	
39, Cadmium in colour converting II-VI LEDs (< 10 µg Cd per mm <sup>2</sup> of light-emitting area) for use in solid state illumination or display systems	Expires on 1 July 2014
40, Cadmium in photoresistors for analogue optocouplers applied in professional audio equipment	Expires on 31 December 2013
Note: 1. (1) OJ L 326, 29.12.1969, p.36. 2. For the purposes of Directive 2011/65/EU, a maximum concentration value of 0,1 % by weight in homogeneous materials for lead, mercury, hexavalent chromium, polybrominated biphenyls (PBB) and polybrominated diphenyl ethers (PBDE) and of 0,01 % by weight in homogeneous materials for cadmium shall be tolerated.	

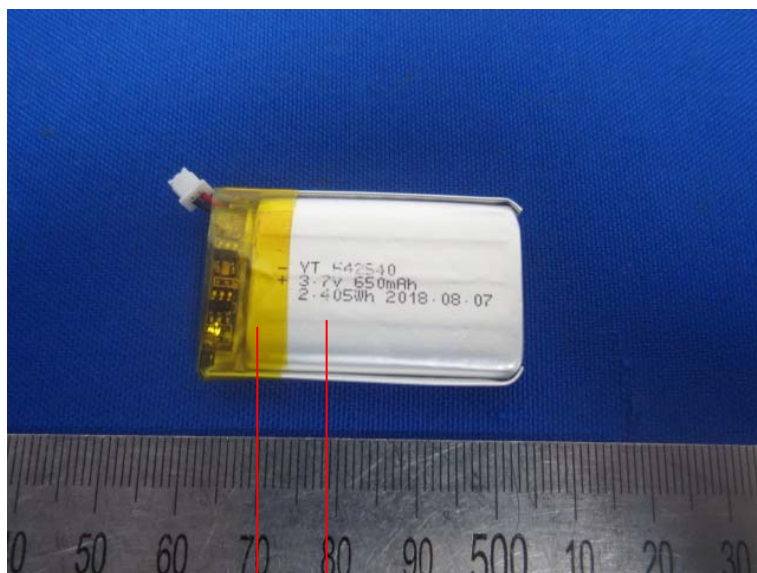
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### Photo(s) of the sample(s)



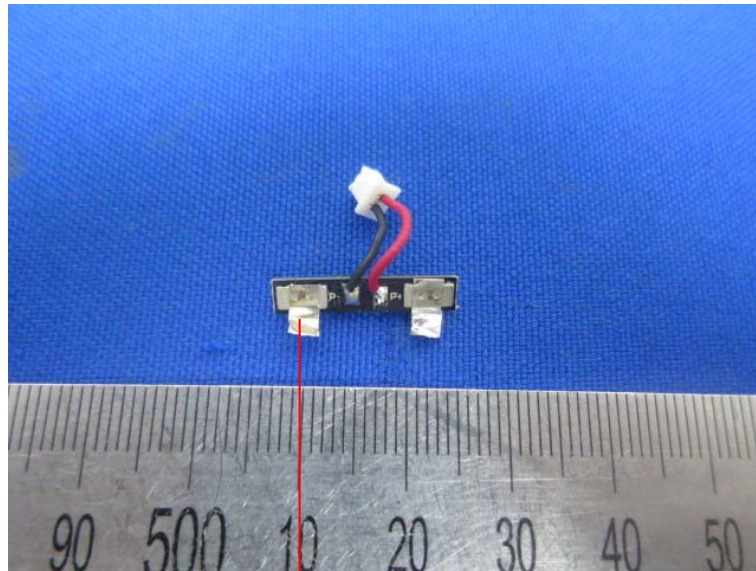
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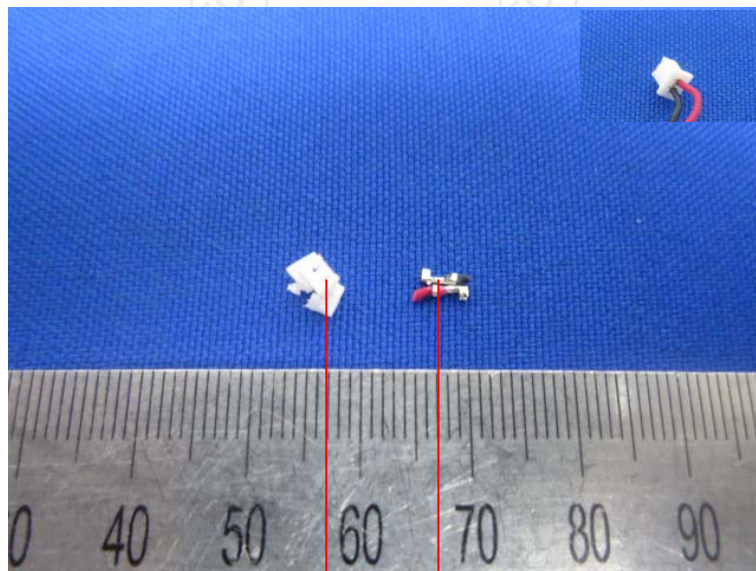
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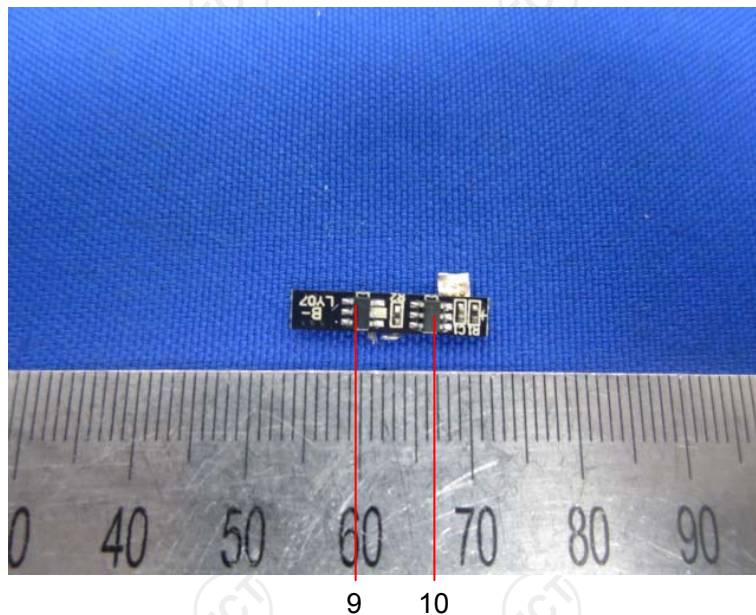
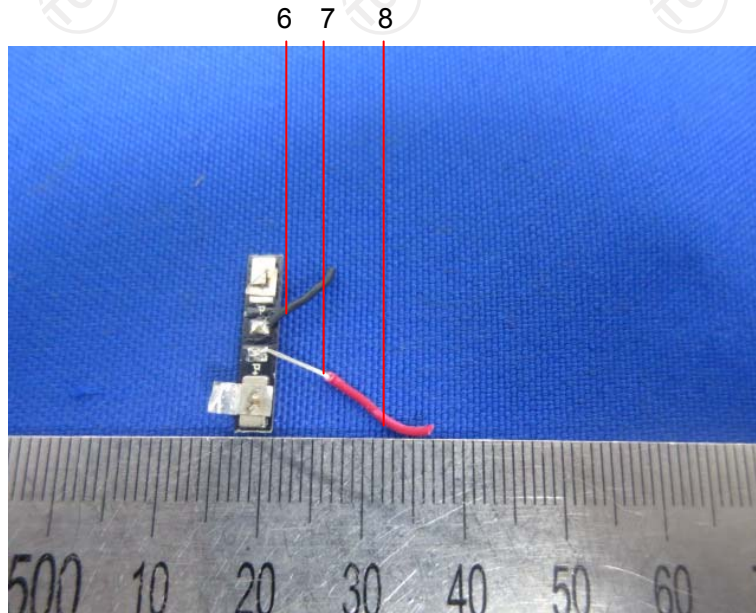


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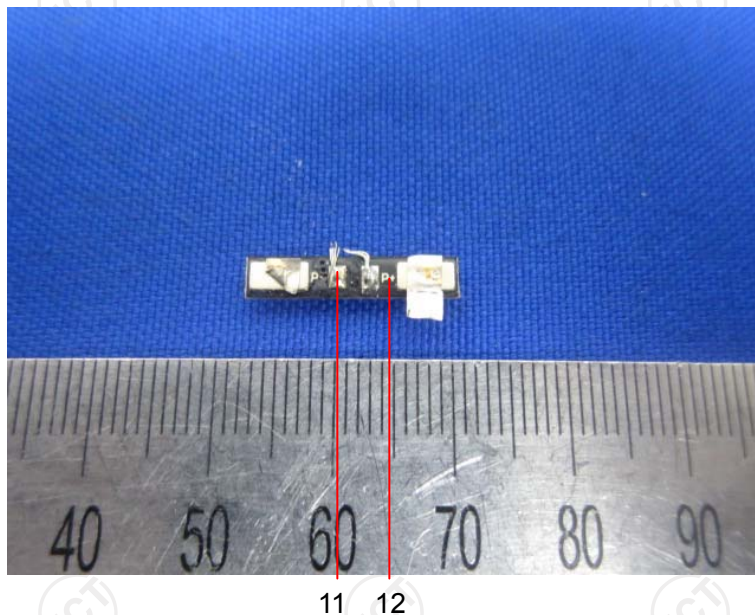


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**\*\*\* End of Report \*\*\***

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